Identification and Verification Reference

For all new applicants/signatories to investments and loans

Form 7

uniting FINANCIAL SERVICES *invest in higher values*

RETURN TO: UNITING FINANCIAL SERVICES PO Box A2178 Sydney South NSW 1235 / Level 3, 222 Pitt Street Sydney NSW 2000
Telephone: 1300 133 673 Fax: 02 9267 4965 Email: contactus@unitingfinancial.com.au Website: unitingfinancial.com.au

This form must be completed for all new applicants and signatories (including attorneys appointed under a Power of Attorney by an applicant), and must be signed by an 'authorised certifier'. Additional signatories must each complete a separate copy of this form. It is an offence under section 21 of the Financial Transaction Reports Act 1988 to make a false or misleading statement.

Helpful hints for completing this application form

In order for a document certification to be accepted, the certifier must sight the original document, and a copy of the document. If there are multiple pages to the document, each page must be certified and signed by the certifier. The investor/borrower must then attach the certified copies to this document and provide them to Uniting Financial Services (via mail or in person). Facsimile copies are not acceptable.

List of authorised certifiers:

- Justice of the Peace;
- Person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia as a legal practitioner;
- Judge of a court;
- Magistrate;
- Chief Executive Officer of a Commonwealth Court;
- Registrar or Deputy Registrar of a court;
- Notary Public (for the purposes of the Statutory Declaration Regulations (1993));
- Police officer;
- Agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public;
- Permanent employee of the Australian Postal Corporation with two or more years continuous service who is employed in an office supplying postal services to the public;
- Australian consular officer or an Australia diplomatic officer;
- Officer with two or more continuous years of service with one or more financial institutions;
- Finance company officer with two or more continuous years of service with one or more finance companies;
- Officer with, or authorised representative of, a holder of an Australian Financial Services Licence, having two or more continuous years of service with one or more licences; and
- Member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with two or more years of continuous membership.

Please use BLOCK LETTERS and tick ✓ required choices

PART A DETAILS OF APPLICANT OR SIGNATORY

1. Name of applicant/signatory (in full)

Title | Given names

Last name

2. Occupation

3. Date of birth D D / M M / Y Y Y Y

4. Applicant residency status

Is Australia your country of residence? ☐ Yes ☐ No If no, please specify

5. Contact details of applicant/signatory

Residential address

Suburb | State | Postcode

Postal address (if different from residential address, otherwise write 'As Above')

Suburb | State | Postcode

Telephone

Home | Work | Mobile

Fax | Email

6. Signature of applicant/signatory (to be signed in the presence of the authorised certifier)

I undertake to provide Uniting Financial Services with such additional information or documentation as Uniting Financial Services may request at any future time to ensure compliance with the Anti-Money Laundering and Counter-Terrorism Financing Act 2006.

Date D D / M M / Y Y Y Y

PART B DOCUMENTS EXAMINED BY AUTHORISED CERTIFIERS

ALL supporting documents attached to this application must be:

- Issued on the letterhead of the issuing authority;
- Include the applicant's name;
- Be written in English; and
- Certified by an authorised certifier.

Please provide any of:

OPTION 1 – AT LEAST ONE Primary Identification Document (photo identification)

OR

OPTION 2 – TWO Primary Identification Documents (non-photo)

OR

OPTION 3 – ONE Primary Identification Document (non-photo) PLUS ONE Secondary Identification Document (no photo) If you are unable to provide identification documentation to satisfy the above requirements, please contact our friendly Service Team on 1300 133 673.

Primary Identification Document – Photo Identification

Please provide CERTIFIED COPIES of AT LEAST ONE of the following forms of photo identification:

- ☐ Driver's licence (current address)
- ☐ Passport
- ☐ Proof of Age/Photo card (Government issued)

By providing certified copies of any of the above, no further documentation is required.

Primary Identification Document – Non-photo Identification

Please provide CERTIFIED COPIES of the following forms of non-photo identification:

- ☐ Citizenship certificate
- ☐ Birth certificate (if international, please provide a certified English translated copy)
- ☐ Pension card

By providing TWO certified copies of any of the above, no further documentation is required. Alternatively, provide ONE certified copy of any of the above PLUS ONE certified copy of a Secondary Identification Document.

Secondary Identification Document

Please provide ONE CERTIFIED COPY of any Primary Identification Document - Non-photo identification plus ONE CERTIFIED COPY of any of the following forms of non-photo identification:

- ☐ Marriage certificate
- ☐ Utilities notice
- ☐ Council rates notice
- ☐ Bank/credit card statement
- ☐ Letter from school Principal (for minors)

PART C DETAILS OF AUTHORISED CERTIFIER

1. Name of Authorised Certifier (in full)

Title Given names

Last name

2. Telephone

3. Address

Suburb State Postcode

4. Category/occupation of authorised certifier

PRIVACY

I/We consent to the collection, use and disclosure of our personal information as detailed in the Product Disclosure Statement, Product Information Brochure and the Financial Services Guide.

UFS'S COLLECTION, USE AND DISCLOSURE OF PERSONAL INFORMATION

Information is collected from you to administer and manage your investments and if some or all the information is not collected then it may not be possible to administer your investment. We may use your personal information to provide you with information and marketing material about other products and services provided by Uniting Financial Services. We do not provide your information to any other organisation without your consent, other than those organisations that provide administrative or other services as part of the operation of our business. You can view and obtain our Privacy Policy from our website unitingfinancial.com.au.

MARKETING OPTIONS

From time to time, we may contact you to let you know about (or ask for your feedback as we develop and improve) products and services. If you don't want us to contact you about this sort of thing, or to receive our communication items such as *Mustard Seed* newsletter, *UFS Enews*, investment market updates or to receive promotional items, simply tick here: ☐ No thanks

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