

# Investment Application



This form may be lodged with Uniting Financial Services or posted to:

**Uniting Financial Services**  
PO Box A2178  
Sydney South NSW 1234



## Helpful hints for completing this application

- We do not provide financial services to non-residents of Australia. If you do not reside in Australia do not complete this application.
- Quotation of a Tax File Number (TFN) is not compulsory and will not affect your application. However, if you do not provide a TFN or exemption reference in the Tax File Notification section, we may be required to withhold tax from interest payments.
- New signatories on the investment (not already identified) are to complete an *Identification and Verification Reference* form.
- Please attach the following documents. Certificate of Registration of Business Name or Company; Memorandum and Articles of Association; Trust Deed (where applicable). Note: Other documentation may be requested to establish your investment.
- Please ensure all steps are completed where required.



## Need help or have a question?

Call us on **1300 133 673**

Visit us at [unitingfinancial.com.au](http://unitingfinancial.com.au)

Email us at [contactus@unitingfinancial.com.au](mailto:contactus@unitingfinancial.com.au)

Please use **BLOCK LETTERS** in **BLACK OR BLUE PEN ONLY** and  tick required choices

## STEP 1 – YOUR/YOUR ORGANISATION'S DETAILS

Already a Uniting Financial Services investor? Please provide your investor I.D. and go to Step 2 or complete the following details.

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Name of person/s, company, trust or other entity

ABN (if applicable)

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Residential address

Suburb

State

--	--	--	--

Postcode

--	--	--	--	--	--

Postal address (if different from above)

Suburb

State

--	--	--	--

Postcode

--	--	--	--	--	--

Telephone

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Fax

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Mobile

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## STEP 2 – TAX FILE NOTIFICATION OR EXEMPTION FROM QUOTING TFN

Under the provisions of the Tax File Number legislation you are not required to provide your TFN. However, if you don't, we may be required to withhold tax at the highest marginal tax rate plus Medicare levy from any interest payments made. **Not required if existing investor.**

Applicant 1 Tax File Number

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Applicant 2 Tax File Number

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

State pension type or other exemption below

### STEP 3 – INVESTMENT SELECTION

Please tick your choice(s) of investments from the following list

**Fixed Term Investment** Opening amount \$  Number of months  **OR** Date to mature  /  /

Interest paid (tick one):

Monthly and at maturity (for investments of \$20,000 or more) **OR**  At maturity (for terms less than 12 months) **OR**  Annually and at maturity

**Rolling 31 Day Investment**  
 Opening amount \$

**Higher Values 31 Day Roll**  
 Opening amount \$

**Funeral Investment**  
 Opening amount \$

**Self Help Investment**  
 Opening amount \$  Scheme No. (Obtain from Treasurer)

### STEP 4 – YOUR INTEREST PAYMENT DETAILS

**Add interest to this investment**

**OR**

**Pay interest to Uniting Financial Services investment number**

**OR**

**Pay interest to another financial institution account (Fixed Term Investments only)**

Account name

Financial institution  Branch

BSB number  -  Account number

Reference to appear on financial institution statement (max 18 characters)

## STEP 5 – FUNDING YOUR NEW INVESTMENT



• If you are funding your investment by cheque, please make the cheque payable to the Applicant applying for this investment.

### CHEQUE PAYMENT

Please draw upon enclosed cheque, value \$

### DIRECT DEBIT REQUEST

Please complete and sign the Direct Debit Request below to enable the opening investment to be withdrawn from your existing investment with either Uniting Financial Services or with another financial institution. Terms and Conditions for Direct Debit Request are contained in the *Product Disclosure Statement*, available on our website [unitingfinancial.com.au](http://unitingfinancial.com.au)

I/We authorise Uniting Financial Services (Debit User Number 126 416) to debit the amount of \$  as detailed below.

Uniting Financial Services investment number

OR

Another financial institution account

Account name

Financial institution

Branch

BSB number

Account number

Authorised signatory 1

SIGN HERE

Authorised signatory 2

SIGN HERE

Date

Date

## STEP 6 – ADDITIONAL INVESTMENT SERVICING INFORMATION



• To ensure we are complying with Anti-Money Laundering and Counter-Terrorist Financing Laws we need to confirm the following.

1. Original source of funds being used to open this account

- |   |  |
|---|--|
| <input type="checkbox"/> Salary                     | <input type="checkbox"/> Savings/Investments*    |
| <input type="checkbox"/> Inheritance*               | <input type="checkbox"/> Investment income       |
| <input type="checkbox"/> Student allowance          | <input type="checkbox"/> Government benefits     |
| <input type="checkbox"/> Pension                    | <input type="checkbox"/> Superannuation payments |
| <input type="checkbox"/> Other (give details below) |  |

2. Additional information for explanation of income and wealth (i.e. type of employment/business, inheritance, sale of assets).

\*If Savings/Investments or inheritance, give details of source of assets.

## STEP 6 – ADDITIONAL INVESTMENT SERVICING INFORMATION (CONT)

### 3. Types of expected investment activity

- |  |   |
|--|---|
| <input type="checkbox"/> Telegraphic Transfer in/out | <input type="checkbox"/> Cheque credits   |
| <input type="checkbox"/> Clearing cheques            | <input type="checkbox"/> Transfers in/out |

### 4. Details of expected transactions per month

Type	Transaction amount	Number of transactions	Remarks (e.g. regular payments)
Debit	\$		
Credit	\$		

### 5. Primary source of income (select one)

- |  |   |
|--|---|
| <input type="checkbox"/> Salary credits      | <input type="checkbox"/> Cheque credits   |
| <input type="checkbox"/> Centrelink payments | <input type="checkbox"/> Clearing cheques |
| <input type="checkbox"/> Student allowances  | <input type="checkbox"/> Transfers in/out |

### 6. What is the main reason you chose to invest with us?

## STEP 7 – DETAILS OF AUTHORISED SIGNATORIES FOR ALL INVESTMENT(S)



- **Not required if existing investor**
- If Uniting Online is required, the User ID and Passcode will be separately mailed to the postal address held by Uniting Financial Services.
- All new signatories not identified by Uniting Financial Services must complete an *Identification and Verification Reference* form.
- **Please note:** Person listed as contact 1 will receive all investment/loan correspondence from Uniting Financial Services.

We acknowledge having received, read and understood the *Financial Services Guide, Product Disclosure Statement* and the *Product Information Brochure*.

### 1. Primary contact full name

Position (if applicable)

Residential address

State

Postcode

Postal address

State

Postcode

Email

Phone

Authorised signatory 1

Date

**LIST ADDITIONAL SIGNATORIES ON NEXT PAGE ▶**

## STEP 7 CONTINUED – DETAILS OF AUTHORISED SIGNATORIES FOR ALL INVESTMENT(S)

2. Full name  Position (if applicable)

Residential address  State  Postcode

Postal address  State  Postcode

Email  Phone

Authorised signatory 2  Date  /  /

3. Full name  Position (if applicable)

Residential address  State  Postcode

Postal address  State  Postcode

Email  Phone

Authorised signatory 3  Date  /  /

4. Full name  Position (if applicable)

Residential address  State  Postcode

Postal address  State  Postcode

Email  Phone

Authorised signatory 4  Date  /  /

## STEP 7 CONTINUED – DETAILS OF AUTHORISED SIGNATORIES FOR ALL INVESTMENT(S)

5. Full name  Position (if applicable)

Residential address  State  Postcode

Postal address  State  Postcode

Email  Phone

Authorised signatory 5

Date  /  /

6. Full name  Position (if applicable)

Residential address  State  Postcode

Postal address  State  Postcode

Uniting Online:  View Only  Initiator  Authoriser only  Initiator/Authoriser  N/A

Email  Phone

Authorised signatory 6

Date  /  /

## STEP 8 – OPERATING INSTRUCTIONS FOR INVESTMENTS



If this section is not completed ALL applicants must sign.

Any party to sign **OR**  All parties to sign **OR**  Any  of the nominated signatories to sign

## UNITING ONLINE ACCESS

List names and access levels to those people requiring Uniting Online Access.

Name:	View Only	Initiator	Authoriser	Initiator/Authoriser
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

## STEP 9 – AUTHORISATION

This application may be accepted or rejected by Uniting Financial Services in its absolute discretion.

We undertake to provide Uniting Financial Services with such additional information or documentation as Uniting Financial Services may request at any future time to ensure compliance with the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006*. We acknowledge that Uniting Financial Services does not provide personal advice (advice which takes into consideration one or more of my/our financial objectives, circumstances and needs), taxation advice or advice in relation to Centrelink or Veterans' Affairs entitlements. The details we have provided in this application are true and correct. We have read, understood and accepted the terms and conditions as contained in the *Financial Services Guide*, *Product Disclosure Statement* and the *Product Information Brochure*.

We understand and acknowledge investments managed by The Uniting Church (NSW) Trust Association Limited and The Uniting Church in Australia Property Trust (NSW) are used to promote the charitable purposes and support the work of The Uniting Church in Australia, Synod of NSW and the ACT. We understand and acknowledge that profit considerations are not of primary importance in our decision to invest.

### PRIVACY

I/We acknowledge and consent to the collection, use and disclosure of my/our personal information as detailed in the Privacy Acknowledgement & Consent Form provided with this application, the Privacy Policy, *Financial Services Guide*, *Product Disclosure Statement* and the *Product Information Brochure*. I/We acknowledge receipt of the Privacy Policy which is also available on [unitingfinancial.com.au](http://unitingfinancial.com.au)

### MARKETING OPTIONS

From time to time, we may contact you to notify you about, or seek feedback on, our products and services. If you don't want us to contact you, or to receive communication items, simply tick here:  No thanks

Authorised signatory

Authorised signatory

SIGN HERE

Print full name

Date  /  /

SIGN HERE

Print full name

Date  /  /



### APPLICATION CHECKLIST

- Have you signed this application?
- Have all new signatories completed an *Identification and Verification Reference* form where required?
- Have you attached your Certificate of Registration of Business Name or Company; Memorandum and Articles of Association; and Trust Deed (where applicable), if required?
- Please ensure all steps are completed where required.

Financial services are provided by The Uniting Church (NSW) Trust Association Limited ACN 000 022 480, ABN 89 725 654 978, AFSL 292186 ("UCTAL") and by The Uniting Church in Australia Property Trust (NSW) ABN 77 005 284 605 ("UCAPT") (together and separately "Uniting Financial Services"), for The Uniting Church in Australia, Synod of NSW and the ACT ("Synod"), under s.911A Corporations Act 2001 (Cth.) authorisation and pursuant to APRA Banking Exemption No. 1 of 2017 and ASIC Regulatory Guide 87 and ASIC Corporations (Charitable Investment Fundraising) Instrument 2016/813 exemptions. Uniting Financial Services® is a registered trademark of UCTAL used with permission by UCAPT. None of The Uniting Church in Australia, UCAPT and UCTAL is prudentially supervised by APRA. Therefore, investments with and contributions to these Uniting Church organisations will not receive the benefit of the financial claims scheme or the depositor protection provisions in the Banking Act 1959 (Cth.). All financial services and products are designed for investors who wish to promote the religious and charitable purposes of Uniting Financial Services and The Uniting Church in Australia and for whom profit considerations are not of primary importance in their decision to invest.

## OFFICE USE ONLY

### Choosing the appropriate profile.

Permissions allocated to each of the form profiles are as follows.

What can each Delegate Profile do?	<i>View Only</i>	<i>Initiator</i>	<i>Authoriser Only</i>	<i>Initiator/ Authoriser</i>
Process Batches	N	Y	N	Y
Process BPAY	N	Y	N	Y
Own Account Transfers	N	Y	N	Y
External Transfers	N	Y	N	Y
View Transaction List	View Only	View Only	View Only	View Only
Open eStatement	View Only	View Only	View Only	View Only
Within Institution Transfer	N	Y	N	Y
<b>Access</b>	Change Passcodes Only	All options available	Change Passcodes Only	All options available