

Additional Investment Application



You can send your completed form by fax to:
Uniting Financial Services
 C/- RBC Investor & Treasury Services
 Fax: 02 8262 5492



Or post to:
Uniting Financial Services
 C/- RBC Investor & Treasury Services
 GPO Box 4471, SYDNEY NSW 2001



Have a question? Call us on 1300 133 673 | Visit us at unitingfinancial.com.au | Email us at contactus@unitingfinancial.com.au

* Please note: investment instructions received before 5pm Sydney time on the last business day of the month will be processed for the unit price on that day.

Please use **BLOCK LETTERS** in **BLACK OR BLUE PEN ONLY** and tick required choices

STEP 1 – YOUR/YOUR ORGANISATION'S DETAILS

UFS Investor ID

UFS Investor Name

STEP 2 - I would like to make an additional investment into a Uniting Financial Services managed fund:

Uniting Financial Services Ethical Diversified Fund (EDF)

Investment amount \$

Uniting Financial Services Ethical Australian Equity Fund (EAEF)

Investment amount \$

RBC Unit Registry details:

Client No:

Account No:

STEP 3 – FUNDING YOUR INVESTMENT

Investor to make direct payment to RBC Unit Registry:

Electronic Funds Transfer (ANZ bank account):

Account Name: RBC ISAN Custody A/C **BSB:** 012-003 **Account Number:** 836975879;

STEP 4 – DECLARATION

IMPORTANT: PLEASE READ THE FOLLOWING BEFORE SIGNING THIS APPLICATION FORM.

I / WE DECLARE AND AGREE:

- that all the details I / we have provided in this application are true and correct.
- I / we have read, understood and accepted the terms and conditions as contained in the relevant Information Document to which my/our application relates.
- I / we have read and understood that I / we are applying solely on the basis of the relevant Information Document and this completed Application Form.
- I / we agree to be bound by the provisions of the Trust Deed dated 18 July 2000 (as amended from time to time) and the relevant Information Document (as amended or reissued from time to time).
- If this application is signed under Power of Attorney, the Attorney declares that he/she has not received notice of revocation of that power (a certified copy of the Power of Attorney should be submitted with this Application Form).
- If signing on behalf of a company as a sole signatory, that I am signing as a sole director and sole secretary of the company;
- If investing as trustee, on behalf of a superannuation fund or trust, that I/we am/are acting in accordance with my/our designated powers and authority under the applicable trust deed. In the case of a superannuation fund, I/we also confirm that it is a complying fund under the Superannuation Industry (Superannuation) Act 1993 (Cth).

- I / we are authorised to open the abovementioned investment(s) with Uniting Financial Services based upon authority duly given by resolution passed at a legally constituted meeting of the investor's governing body in accordance with its rules or constitution [where applicable] for the opening and operation of the investment(s) in the name set out in this application.

I/WE WARRANT THAT:

- If requested, I / we will provide the Trustee with such additional information or documentation and/or assistance as the Trustee may request at any future time to ensure compliance with the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (Cth.) and related rules ("AML/CTF Laws").
- I / we will not knowingly do anything to put the Trustee in breach of the AML/CTF Laws.
- I / we will notify the Trustee if I / we become aware of anything that may put the Trustee in breach of AML/CTF Laws.
- I / we will comply with all reasonable requests to facilitate the Trustee's compliance with AML/CTF Laws in Australia or an equivalent overseas jurisdiction.
- I / we are not aware and have no reason to suspect that the money used to fund the investment is derived from or related to:
 - money laundering, terrorism financing or similar activities
 - illegal activities, and/or
 - proceeds of investment made in connection with the Fund will fund illegal activities.

I / WE ACKNOWLEDGE AND AGREE THAT:

- I / we understand that holding units is subject to investment risks, including possible delays in repayment, loss of income and principal invested.
- None of the Trustee, its related bodies corporate or associates nor any other person guarantees the repayment of capital or the performance of the relevant managed fund or any particular rate of return from the relevant managed fund.
- I / we have such knowledge and experience in financial and business matters or I / we have obtained independent advice from a financial advisor such as I / we are capable of evaluating the merits and risks of my / our acquisition of units.
- I / we have read the information on privacy and personal information contained in the relevant Information Document and consent to my/our personal information being collected, used and disclosed in accordance with that Information Document and the Trustee's Privacy Policy.
- Application monies will be held in a bank account until invested in the relevant managed fund or returned to me/us. Any interest paid on that account will be paid to the Trustee and not to applicants regardless of whether my/our Application Form is not successful.
- None of the Trustee or related bodies corporate, affiliates, associates or officers of any of the above entities guarantees any particular rate of return or the performance of the relevant managed fund, nor do they guarantee repayment of capital from the relevant managed fund.
- Investments with the relevant managed fund is not a deposit with other liabilities of the Trustee or related bodies corporate, affiliates, associates or officers of any of the above entities.
- Information is collected from me / us to administer and manage my / our investments and if some or all the information is not collected then it may not be possible to administer my / our investment. The Trustee may use my / our personal information

to provide me / us with information and marketing material about other products and services provided by Uniting Financial Services. The Trustee does not provide your information to any other organisation without your consent, other than those organisations that provide administrative or other services as part of the operation of our business. You can view and obtain the Trustee's Privacy Policy from our website www.unitingfinancial.com.au.

- The Trustee, its Directors, the members of the Trustee and the Custodian are each authorised and instructed to accept and execute any instructions in respect of this application and the units to which it relates given by me / us by facsimile. If instructions are given by me / us by facsimile, I / we acknowledge that the onus is on me / us to ensure that such instructions are in legible form, and I / we undertake to confirm them in writing. Subject to any law to the contrary, and to the maximum extent permitted by law, I / we hereby indemnify the Trustee, its Directors, the members of the Trust and the Custodian and agree to keep each of them indemnified, against any loss of any nature whatsoever arising to each of them as a result of any of them acting on facsimile instructions. Subject to any law to the contrary, and to the maximum extent permitted by law, the members of the Trust, its Directors, the members of the Trustee and the Custodian may rely exclusively upon and shall incur no liability in respect of any action taken upon any notice, consent, request, instructions or other instrument believed, in good faith, to be genuine or to be signed by properly authorised persons.
- The Trustee has the absolute discretion to accept or reject any application for units in the relevant managed fund.
- I / we understand and acknowledge investments managed by The Uniting Church (NSW) Trust Association Limited are used to promote the charitable purposes and support the work of The Uniting Church in Australia, Synod of NSW and the ACT.

STEP 5 - AUTHORISED SIGNATORIES TO SIGN HERE

SIGN HERE

Authorised signatory 1

Print full name

Date / /

SIGN HERE

Authorised signatory 2

Print full name

Date / /

APPLICATION CHECKLIST

- Please ensure all steps are completed where required.
- Have you got two authorised representatives signatures? (Uniting Church entities)
- Have all parties signed this application?
- Have all new signatories completed an Identification and Verification Reference form?

Financial services are provided by The Uniting Church (NSW) Trust Association Limited ACN 000 022 480, ABN 89 725 654 978, AFSL 292186 ("UCTAL") and by The Uniting Church in Australia Property Trust (NSW) ABN 77 005 284 605 ("UCAPT") (together and separately "Uniting Financial Services"), for The Uniting Church in Australia, Synod of NSW and the ACT ("Synod"), under a s.911A Corporations Act 2001 (Cth.) authorisation and pursuant to APRA Banking Exemption No. 1 of 2017 and ASIC Regulatory Guide 87 and ASIC Corporations (Charitable Investment Fundraising) Instrument 2016/813 exemptions. Uniting Financial Services® is a registered trademark of UCTAL used with permission of UCAPT. None of The Uniting Church in Australia, UCAPT and UCTAL is prudentially supervised by APRA. Therefore, investments with or contributions to these Uniting Church organisations will not receive the benefit of the Financial Claims Scheme or the depositor protection provisions in the Banking Act 1959 (Cth.). All financial services and products are designed for investors who wish to promote the religious and charitable purposes of Uniting Financial Services and The Uniting Church in Australia and for whom profit considerations are not of primary importance in their decision to invest.